

Script generated by TTT

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Date: Wed Nov 25 14:19:49 CET 2015

Duration: 49:43 min

Pages: 19

Protecting the Fall-Back Path

Use a lock to prevent the transaction from interrupting the fall-back path:

```
int data[100]; // shared
int mutex;
void update(int idx, int value) {
    if (_xbegin() == -1) {
        if (!mutex > 0) _xabort();
        data[idx] += value;
        _xend();
    } else {
        wait(mutex);
        data[idx] += value;
        signal(mutex);
    }
}
```

- fall-back path may not run in parallel with others ✓
- ⚠ transactional region may not run in parallel with fall-back path

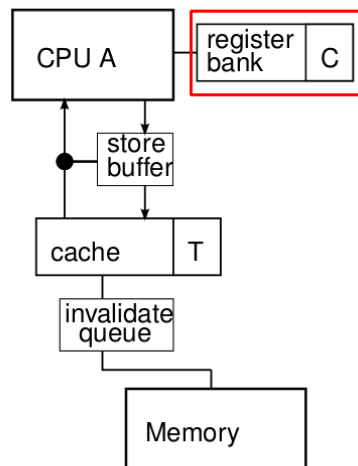
Implementing RTM using the Cache



Transactional operation:

- augment each cache line with an extra bit T
- use a nesting counter C and a backup register set

↪ additional transaction logic:



- **XBEGIN** increment C and, if $C = 0$, back up registers
- read or write access to a cache line sets T if $C > 0$
- applying an *invalidate* message from *invalidate queue* to a cache line with $T = 1$ issues **XABORT**
- observing a *read* message for a *modified* cache line with $T = 1$ issues **XABORT**
- **XABORT** clears all T flags, sets $C = 0$ and restores CPU registers
- **XCOMMIT** decrement C and, if $C = 0$, clear all T flags

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Common Code Pattern for Mutexes



Using HTM in order to implement mutex:

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void update(int idx, int val) {
    lock(mutex);
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void lock(int mutex) {
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}

void unlock(int mutex) {
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```

- the critical section may be executed without taking the lock (the lock is *elided*)
- as soon as one thread conflicts, it aborts, takes the lock in the fallback path and thereby aborts all other transactions that have read `mutex`

Hardware Lock Elision

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Hardware Lock Elision



Observation: Using HTM to implement lock elision is a common pattern
↪ provide special handling in hardware: HLE

- provides a way to execute a critical section without the need to immediately modify the cacheline in order to acquire and release the lock
- requires annotations:
 - ▶ instruction that increments the semaphore must be prefixed with `XACQUIRE`
 - ▶ instruction setting the semaphore to 0 must be prefixed with `XRELEASE`
 - ▶ these prefixes are ignored on older platforms
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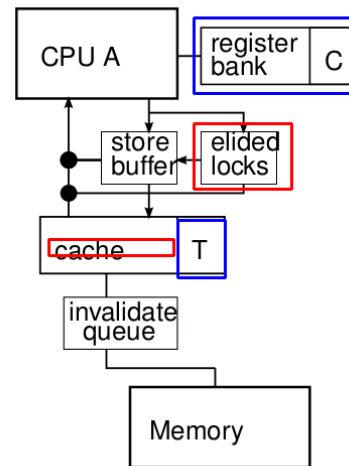
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Implementing Lock Elision



Transactional operation:

- re-uses infrastructure for Restricted Transactional Memory
- add a buffer for elided locks, similar to store buffer



- **XACQUIRE** of lock ensures *shared/exclusive* cache line state with $T = 1$, issues **XBEGIN** and stores written value in *elided lock* buffer
- r/w access to a cache line sets T
- like RTM, applying an *invalidate* message to a cache line with $T = 1$ issues **XABORT**, analogous for *read* message to a *modified* cache line
- a *local CPU read* from the address of the elided lock accesses the buffer
- on **XRELEASE** on the same lock, decrement C and, if $C = 0$, clear T flags and elided locks buffer and commit to memory

Transactional Memory: Summary



Transactional memory aims to provide **atomic** blocks for general code:

- frees the user from deciding how to lock data structures
- compositional way of communicating concurrently
- can be implemented using software (locks, atomic updates) or hardware

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The devil lies in the details:

- semantics of *explicit HTM* and *STM* transactions quite subtle when mixing with non-TM (*weak* vs. *strong isolation*)
- *single-lock atomicity* and *transactional sequential consistency* semantics
- STM not the right tool to synchronize threads without shared variables
- TM providing *opacity* (serializability) requires *eager conflict detection* or *lazy version management*

Devils in *implicit* HTM:

- RTM requires a **fall-back path**
- no progress guarantee
- HLE can be implemented in software using RTM

TM in Practice



Availability of TM Implementations:

- GCC can translate accesses in `__transaction_atomic` regions into `libitm` library calls
- the library `libitm` provides different TM implementations:
 - 1 On systems with TSX, it maps atomic blocks to HTM instructions
 - 2 On systems without TSX and for the fallback path, it resorts to STM
- RTM support slowly introduced to OpenJDK Hotspot monitors

- XX: Use RTM

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Use of hardware lock elision is limited:

- allows to easily convert existing locks
- `pthread` locks in `glibc` use RTM <https://lwn.net/Articles/534758/>
 - ▶ allows implementation of back-off mechanisms
 - ▶ HLE only special case of general lock
- implementing monitors is challenging
 - ▶ lock count and thread id may lead to conflicting accesses
 - ▶ in `threads`: error conditions often not checked anymore

Outlook



Several other principles exist for concurrent programming:

- 1 non-blocking message passing (the actor model)
 - ▶ a program consists of actors that send messages
 - ▶ each actor has a queue of incoming messages
 - ▶ messages can be processed and new messages can be sent
 - ▶ special filtering of incoming messages
 - ▶ *example*: Erlang, many add-ons to existing languages
- 2 blocking message passing (CSP, π -calculus, join-calculus)
 - ▶ a process sends a message over a channel and blocks until the recipient accepts it
 - ▶ channels can be send over channels (π -calculus)
 - ▶ *examples*: Occam, Occam- π , Go
- 3 (immediate) priority ceiling
 - ▶ declare *processes* with priority and *resources* that each process may acquire
 - ▶ each resource has the maximum (ceiling) priority of all processes that may acquire it
 - ▶ a process' priority at run-time increases to the maximum of the priorities of held resources
 - ▶ the process with the maximum (run-time) priority executes

References



- D. Dice, O. Shalev, and N. Shavit. Transactional Locking II. In *Distributed Computing, LNCS*, pages 194–208. Springer, Sept. 2006.
- T. Harris, J. Larus, and R. Rajwar. Transactional memory, 2nd edition. *Synthesis Lectures on Computer Architecture*, 5(1):1–263, 2010.

Online resources on Intel HTM and GCC's STM:

- 1 <http://software.intel.com/en-us/blogs/2013/07/25/fun-with-intel-transactional-synchronization-extensions>
- 2 <http://www.realworldtech.com/haswell-tm/4/>
- 3 <http://www.open-std.org/jtc1/sc22/wg21/docs/papers/2012/n3341.pdf>