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1 Overview of Isabelle/HOL

2 Type and function definitions

3 Induction Heuristics

4 Simplification

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datatype — the general case

$$\text{datatype } (\alpha_1, \dots, \alpha_n)t = \begin{array}{l} C_1 \tau_{1,1} \dots \tau_{1,n_1} \\ | \dots \\ C_k \tau_{k,1} \dots \tau_{k,n_k} \end{array}$$



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- Types: $C_i :: \tau_{i,1} \Rightarrow \dots \Rightarrow \tau_{i,n_i} \Rightarrow (\alpha_1, \dots, \alpha_n)t$



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- *Types:* $C_i :: \tau_{i,1} \Rightarrow \dots \Rightarrow \tau_{i,n_i} \Rightarrow (\alpha_1, \dots, \alpha_n)t$
- *Distinctness:* $C_i \dots \neq C_j \dots$ if $i \neq j$

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- *Injectivity:* $(C_i x_1 \dots x_{n_i} = C_i y_1 \dots y_{n_i}) = (x_1 = y_1 \wedge \dots \wedge x_{n_i} = y_{n_i})$

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Distinctness and injectivity are applied automatically
Induction must be applied explicitly

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Case expressions

Like in functional languages:

$$(\text{case } t \text{ of } pat_1 \Rightarrow t_1 \mid \dots \mid pat_n \Rightarrow t_n)$$

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Complicated patterns mean complicated proofs!

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Complicated patterns mean complicated proofs!

Need () in context

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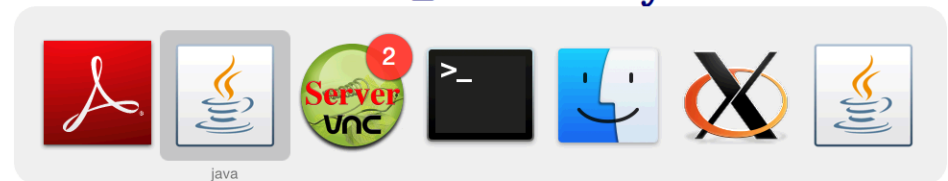


Tree_Demo.thy

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Tree_Demo.thy



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The *option* type

datatype 'a option = None | Some 'a

If 'a has values a_1, a_2, \dots

then 'a option has values None, Some a_1 , Some a_2, \dots

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Typical application:

fun lookup :: ('a × 'b) list ⇒ 'a ⇒ 'b option **where**

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lookup ((a,b) # ps) x =

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Typical application:

fun lookup :: ('a × 'b) list ⇒ 'a ⇒ 'b option **where**

lookup [] x = None |

lookup ((a,b) # ps) x =

(if a = x then Some b else lookup ps x)

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② Type and function definitions

Type definitions

Function definitions

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Non-recursive definitions

Example

definition $sq :: nat \Rightarrow nat$ **where** $sq\ n = n * n$

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Non-recursive definitions

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No pattern matching, just $f\ x_1 \dots x_n = \dots$

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The danger of nontermination

How about $fx = fx + 1$?

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The danger of nontermination

How about $fx = fx + 1$?

Subtract fx on both sides.

$$\implies 0 = 1$$

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Key features of **fun**

- Pattern-matching over datatype constructors

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- Pattern-matching over datatype constructors
- Order of equations matters
- Termination must be provable automatically by size measures

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Key features of **fun**

- Pattern-matching over datatype constructors
- Order of equations matters
- Termination must be provable automatically by size measures
- Proves customized induction schema

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Example: separation

```
fun sep :: 'a ⇒ 'a list ⇒ 'a list where  
  sep a (x#y#zs) = x # a # sep a (y#zs) |  
  sep a xs = xs
```

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primrec

- A restrictive version of **fun**
- Means *primitive recursive*
- Most functions are primitive recursive

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The essence of primitive recursion:

$$\begin{aligned} f(0) &= \dots && \text{no recursion} \\ f(\text{Suc } n) &= \dots f(n)\dots \end{aligned}$$



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Basic induction heuristics

Theorems about recursive functions
are proved by induction

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Basic induction heuristics

Theorems about recursive functions
are proved by induction

Induction on argument number i of f
if f is defined by recursion on argument number i

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A tail recursive reverse

Our initial reverse:

```
fun rev :: 'a list  $\Rightarrow$  'a list where
  rev []          = [] |
  rev (x#xs)     = rev xs @ [x]
```

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A tail recursive version:

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fun itrev :: 'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
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  itrev []       ys = ys |
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```

```

lemma itrev xs [] = rev xs

```

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Induction_Demo.thy

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The screenshot shows the Isabelle IDE interface. The main editor displays the following code:

```
"itrev (x#xs) ys = itrev xs (x#ys) "  
  
Lemma "itrev xs ys = rev xs @ ys "  
apply(induction xs arbitrary: ys)  
apply(auto)  
done
```

Below the editor, the theorem statement is shown:

```
theorem itrev ?xs ?ys = rev ?xs @ ?ys
```

The status bar at the bottom indicates the file is 12,5 (233/504) and the system is Isabelle, Isabelle, UTF-8 - Isabelle, No. 77/1175MB, 09:21.



So far, all proofs were by [structural induction](#)

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because all functions were [primitive recursive](#).
In each induction step, 1 constructor is added.
In each recursive call, 1 constructor is removed.

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So far, all proofs were by **structural induction** because all functions were **primitive recursive**.
 In each induction step, 1 constructor is added.
 In each recursive call, 1 constructor is removed.
 Now: induction for complex recursion patterns.



Computation Induction

Example

```
fun div2 :: nat ⇒ nat where
  div2 0 = 0 |
  div2 (Suc 0) = 0 |
  div2 (Suc(Suc n)) = Suc(div2 n)
```



Computation Induction

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fun div2 :: nat ⇒ nat where
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↪ induction rule `div2.induct`:

$$\frac{P(0) \quad P(\text{Suc } 0) \quad P(n) \implies P(\text{Suc}(\text{Suc } n))}{P(m)}$$



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Computation Induction

If $f :: \tau \Rightarrow \tau'$ is defined by **fun**, a special induction schema is provided to prove $P(x)$ for all $x :: \tau$:

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for each defining equation

$$f(e) = \dots f(r_1) \dots f(r_k) \dots$$

prove $P(e)$ assuming $P(r_1), \dots, P(r_k)$.

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Induction follows course of (terminating!) computation

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Induction follows course of (terminating!) computation

Motto: properties of f are best proved by rule *f.induct*

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How to apply $f.induct$

If $f :: \tau_1 \Rightarrow \dots \Rightarrow \tau_n \Rightarrow \tau'$:

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How to apply $f.induct$

If $f :: \tau_1 \Rightarrow \dots \Rightarrow \tau_n \Rightarrow \tau'$:

(*induction $a_1 \dots a_n$ rule: $f.induct$*)

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Heuristic:

- there should be a call $f a_1 \dots a_n$ in your goal

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How to apply $f.induct$

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Heuristic:

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- ideally the a_i should be variables.

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Induction_Demo.thy

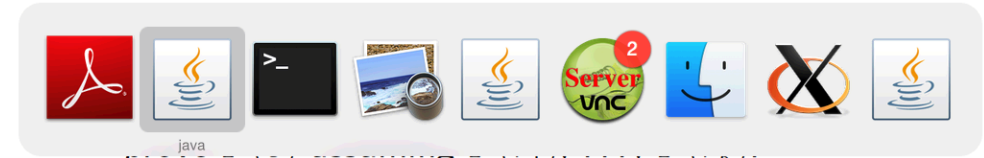
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Computation Induction

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Induction follows course of (terminating!) computation
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How to apply $f.induct$

If $f :: \tau_1 \Rightarrow \dots \Rightarrow \tau_n \Rightarrow \tau'$:

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Simplification means ...

Using equations $l = r$ from left to right

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Using equations $l = r$ from left to right
As long as possible

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Terminology: equation \rightsquigarrow *simplification rule*

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Terminology: equation \rightsquigarrow *simplification rule*

Simplification = (Term) Rewriting

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An example

Equations:

$$\begin{aligned}
 0 + n &= n & (1) \\
 (Suc\ m) + n &= Suc\ (m + n) & (2) \\
 (Suc\ m \leq Suc\ n) &= (m \leq n) & (3) \\
 (0 \leq m) &= True & (4)
 \end{aligned}$$

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An example

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$$0 + Suc\ 0 \leq Suc\ 0 + x$$

Rewriting:

75



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$$0 + Suc\ 0 \leq Suc\ 0 + x \quad \underline{(1)}$$

$$Suc\ 0 \leq Suc\ 0 + x$$

Rewriting:

75



An example

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Rewriting:

$$Suc\ 0 \leq Suc\ (0 + x)$$

75



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Rewriting:

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$$0 \leq 0 + x$$

75



An example

Equations:

$$0 + n = n \quad (1)$$

$$(Suc\ m) + n = Suc\ (m + n) \quad (2)$$

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Rewriting:

$$0 + Suc\ 0 \leq Suc\ 0 + x \quad \underline{\underline{(1)}}$$

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$$Suc\ 0 \leq Suc\ (0 + x) \quad \underline{\underline{(3)}}$$

$$0 \leq 0 + x \quad \underline{\underline{(4)}}$$

$$True$$

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Conditional rewriting

Simplification rules can be conditional:

$$\llbracket P_1; \dots; P_k \rrbracket \implies l = r$$

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is applicable only if all P_i can be proved first, again by simplification.

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Example

$$p(0) = True$$

$$p(x) \implies f(x) = g(x)$$

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Conditional rewriting

Simplification rules can be conditional:

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Example

$$p(0) = True \\ p(x) \Longrightarrow f(x) = g(x)$$

We can simplify $f(0)$ to $g(0)$

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Conditional rewriting

Simplification rules can be conditional:

$$\llbracket P_1; \dots; P_k \rrbracket \Longrightarrow l = r$$

is applicable only if all P_i can be proved first, again by simplification.

Example

$$p(0) = True \\ p(x) \Longrightarrow f(x) = g(x)$$

We can simplify $f(0)$ to $g(0)$ but we cannot simplify $f(1)$ because $p(1)$ is not provable.

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Termination

Simplification may not terminate.

Isabelle uses *simp*-rules (almost) blindly from left to right.

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Termination

Simplification may not terminate.

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Example: $f(x) = g(x)$, $g(x) = f(x)$

$$\llbracket P_1; \dots; P_k \rrbracket \Longrightarrow l = r$$

is suitable as a *simp*-rule only if l is “bigger” than r and each P_i

77



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Example: $f(x) = g(x)$, $g(x) = f(x)$

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$$n < m \Longrightarrow (n < \text{Suc } m) = \text{True}$$

$$\text{Suc } n < m \Longrightarrow (n < m) = \text{True}$$

77



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Example: $f(x) = g(x)$, $g(x) = f(x)$

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is suitable as a *simp*-rule only

if l is “bigger” than r and each P_i

$$n < m \Longrightarrow (n < \text{Suc } m) = \text{True} \quad \text{YES}$$

$$\text{Suc } n < m \Longrightarrow (n < m) = \text{True} \quad \text{NO}$$

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Proof method *simp*

Goal: 1. $\llbracket P_1; \dots; P_m \rrbracket \Longrightarrow C$

apply(*simp add: eq₁ ... eq_n*)

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Proof method *simp*

Goal: 1. $\llbracket P_1; \dots; P_m \rrbracket \Longrightarrow C$

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Simplify $P_1 \dots P_m$ and C using

- lemmas with attribute *simp*

78



Proof method *simp*

Goal: 1. $\llbracket P_1; \dots; P_m \rrbracket \implies C$

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Simplify $P_1 \dots P_m$ and C using

- lemmas with attribute *simp*
- rules from **fun** and **datatype**

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Proof method *simp*

Goal: 1. $\llbracket P_1; \dots; P_m \rrbracket \implies C$

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Simplify $P_1 \dots P_m$ and C using

- lemmas with attribute *simp*
- rules from **fun** and **datatype**
- additional lemmas $eq_1 \dots eq_n$
- assumptions $P_1 \dots P_m$

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Proof method *simp*

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- lemmas with attribute *simp*
- rules from **fun** and **datatype**
- additional lemmas $eq_1 \dots eq_n$
- assumptions $P_1 \dots P_m$

Variations:

- (*simp ... del: ...*) removes *simp*-lemmas
- *add* and *del* are optional

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auto versus *simp*

- *auto* acts on all subgoals
- *simp* acts only on subgoal 1

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auto versus *simp*

- *auto* acts on all subgoals
- *simp* acts only on subgoal 1
- *auto* applies *simp* and more
- *auto* can also be modified:
(*auto simp add: ... simp del: ...*)

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Rewriting with definitions

Definitions (**definition**) must be used **explicitly**:

(*simp add: f_def ...*)

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Case splitting with *simp/auto*

Automatic:

$$\begin{aligned}
 &P(\text{if } A \text{ then } s \text{ else } t) \\
 &= \\
 &(A \longrightarrow P(s)) \wedge (\neg A \longrightarrow P(t))
 \end{aligned}$$

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By hand:

$$\begin{aligned}
 &P(\text{case } e \text{ of } 0 \Rightarrow a \mid \text{Suc } n \Rightarrow b) \\
 &= \\
 &(e = 0 \longrightarrow P(a)) \wedge (\forall n. e = \text{Suc } n \longrightarrow P(b))
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Proof method: (*simp split: nat.split*)

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Proof method: (*simp split: nat.split*)

Or *auto*. Similar for any datatype *t*: *t.split*

81



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Automatic:

$$\begin{aligned}
 &P(\text{if } A \text{ then } s \text{ else } t) \\
 &= \\
 &(A \longrightarrow P(s)) \wedge (\neg A \longrightarrow P(t))
 \end{aligned}$$

By hand:

$$\begin{aligned}
 &P(\text{case } e \text{ of } 0 \Rightarrow a \mid \text{Suc } n \Rightarrow b) \\
 &= \\
 &(e = 0 \longrightarrow P(a)) \wedge (\forall n. e = \text{Suc } n \longrightarrow P(b))
 \end{aligned}$$

Proof method: (*simp split: nat.split*)

Or *auto*. Similar for any datatype *t*: *t.split*

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Splitting pairs with *simp/auto*

How to replace

$$P(\text{let } (x, y) = t \text{ in } u \ x \ y)$$

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Splitting pairs with *simp/auto*

How to replace

$$\begin{array}{c}
 P (\text{let } (x, y) = t \text{ in } u \ x \ y) \\
 \text{or} \\
 P (\text{case } t \text{ of } (x, y) \Rightarrow u \ x \ y)
 \end{array}$$

82



Splitting pairs with *simp/auto*

How to replace

$$\begin{array}{c}
 P (\text{let } (x, y) = t \text{ in } u \ x \ y) \\
 \text{or} \\
 P (\text{case } t \text{ of } (x, y) \Rightarrow u \ x \ y) \\
 \text{by} \\
 \forall x \ y. t = (x, y) \longrightarrow P (u \ x \ y)
 \end{array}$$

82



Splitting pairs with *simp/auto*

How to replace

$$\begin{array}{c}
 P (\text{let } (x, y) = t \text{ in } u \ x \ y) \\
 \text{or} \\
 P (\text{case } t \text{ of } (x, y) \Rightarrow u \ x \ y) \\
 \text{by} \\
 \forall x \ y. t = (x, y) \longrightarrow P (u \ x \ y)
 \end{array}$$

Proof method: (*simp split: prod.split*)

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`Simp_Demo.thy`

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